

SOLE SOURCE JUSTIFICATION

Pursuant to Section 41-16-51 of the Alabama Code, the University must procure all material, equipment, supplies and services via competitive means whenever practicable. However, Purchasing may waive the competitive process and approve sole source procurement provided the requestor can adequately justify its use. Purchasing judges the reasonability of sole source procurement based on the requestor's investigation, evaluation and documentation of alternate sources of supply and that rejection of similar products is based solely on their failure to meet specific and necessary specifications. In cases where an alternate supplier for a similar product cannot be identified, the requestor must document that a good faith effort has been made in seeking other sources. A listing of the unique technical specifications required of the product and the potential companies that were contacted in the search for alternate sources is necessary. Purchasing may use this information in companies conducting its own market search. Sole source justification cannot be based on quality or price. Quality can be a subjective evaluation based on opinion. Public procurement law requires price considerations be evaluated via competitive bidding.

INSTRUCTIONS:

This form with one or more categories completed must accompany a purchase requisition when sole source approval is requested for equipment and supplies exceeding \$7,500.00

1. Please type or print legibly.
2. Complete all categories and sections that apply.
3. Provide full explanation, complete descriptions, and/or list all relevant reasons where space had been provided. Sole Source Justification forms lacking sufficient detail can not be approved.
4. Sign and date the form at the end.
5. Improperly completed or unsigned forms may be returned.

STATEMENT:

I am aware that Section 41-16-51 of the Alabama code mandates that the University procure all material, equipment, and supplies via competitive means whenever practicable. However, I am requesting sole source procurement based on the following criteria. (Attach additional sheets as necessary):

From: _____ Date: _____

Purchase Requisition # _____ Proposed Vendor: _____ Estimated Price: _____

Product Description: _____

The requested product is an integral repair part or accessory compatible with existing equipment.

A. Existing Equipment _____

Manufacture/Model Number: _____ Age/Current Value _____

Estimated remaining life span: _____

B. Requested Equipment/Accessory / Part: _____

Manufacturer/Model Number: _____

Explain relationship between current Equipment and requested equipment: _____

2. The requested product has unique design/performance specifications which are essential to my research protocol or other needs and are not available in comparable products.

BOTH A & B PORTIONS OF THIS CATEGORY MUST BE ANSWERED

A. These capabilities are: _____

B. In addition to the product requested, I have contracted other suppliers identified below and considered their product of similar capabilities. These products are not acceptable because they are lacking one or more of the technical specifications described in A above.

Vendor: _____ Contract/Phone# _____

Product Description: _____

Technical Deficiency: _____

3. The requested product is essential in maintaining experimental or administrative continuity. Provide thorough explanation in "Explain in detail" section.

Requested product is being used in continuing experiments.

Other investigators have used this product in similar research and, for comparability of results, I require it.

I have standardized the requested product; the use of another would require considerable time and money to evaluate.

Explain in detail _____

4. The requested product is one with which I (or my staff) have specialized training and/or extensive experience. Retaining would incur substantial cost in money and/or time.

Manufacture/Model of existing equipment: _____ Age/Current Value _____

Estimated hours/person required to retain: _____ Other factors: _____

5. Please consider sole source approval for this reason(s)(e.g., trade-in allowance; availability of service, parts, and maintenance; product in a prototype; etc.): Attach all documentation supporting this request. Summarize this information below:

6. Do you have an actual or potential conflict or interest in the purchase request: ()yes () no. If yes, please explain on attached sheet.

AUTHORIZATION:

_____ Date: _____
Full Name of Principle Investigator Signature
(Print or Type)

_____ Date: _____
Department Head/Administrator Signature
(Print or Type)